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31 walking. Twenty seven participants performed five walking trials
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43 active PSD in both signals in the BF condition ($P < 0.0001$;
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46 the low-frequency component of an acceleration signal during gait
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49 in the frequency-domain following subtraction of this component.

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59 Low-frequency accelerations over-estimate impact-related shock during
60 walking.

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84 **Abstract**

85 During gait, a failure to acknowledge the low-frequency component of a segmental
86 acceleration signal will result in an overestimation of impact-related shock and may lead to
87 inappropriately drawn conclusions. The present study was undertaken to investigate the
88 significance of this low-frequency component in two distinctly different modalities of gait:
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90 trials at self-selected speed in each condition. Peak positive accelerations (PPA) at the shank
91 and spine were first derived from the time-domain signal. The raw acceleration signals were
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100 of an acceleration signal during gait is dependent on knee and ankle joint coordination
101 behaviour, and highlight that impact-related shock is more accurately quantified in the
102 frequency-domain following subtraction of this component.

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109 1.0 Introduction

110 The average person walks with approximately 6,000 steps taken per day (Tudor-Locke
111 et al, 2009) and with each step the body is exposed to an impact force in excess of bodyweight
112 (Ounpuu, 1994). Within this impact force, transient forces exist which are determined by the
113 rate of change in momentum of the contacting foot with respect to the ground causing impact-
114 related accelerations (shock) to be transmitted up the musculoskeletal system. Inadequate
115 attenuation of these accelerations, through alterations in the body's internal damping
116 mechanisms has been suggested as a primary etiological agent underlying headaches and a
117 number of pathological and injurious conditions (Whittle, 1999).

118 Footwear is a primary determinant of transient forces at initial contact (Whittle, 1999);
119 understanding how these can be modulated by way of various mid-sole interfaces/technologies
120 have led to considerable advancements in shoe development over recent decades for potentially
121 enhancing shock attenuation. However, significantly lower peak impact force (derived from
122 ground reaction force) has been reported in barefoot compared to footwear-mediated
123 locomotion (Divert et al, 2005; Hamill et al, 2011; Keenan et al, 2011; Squadrone and Gallozzi,
124 2009). Yet paradoxically, there is considerable evidence to suggest that tibial accelerations (or
125 shock) are significantly higher in barefoot locomotion (Clarke et al, 1983; Forner et al, 1995;
126 Lafortune, 1991; McNair and Marshall, 1994; Sinclair et al, 2013). These studies may well have
127 over-estimated the magnitude of tibial shock through inclusion of low frequency accelerations
128 due to movement.

129 The frequency range of impact-related shock from ground contact occurs between 10 and 35
130 Hz (Nigg and Wakeling, 2001; Voloshin et al, 1985; Wakeling and Nigg, 2001). Frequencies
131 below this are synonymous with accelerations due to movement (Angeloni et al, 1994; Hamill
132 et al, 1995; Shorten and Winslow, 1992), which should not be included in the description of
133 impact-related shock. To do so may lead to inappropriately drawn conclusions and

134 rehabilitation prescriptions with respect to various pathological and injurious conditions. As
135 such, the importance of correctly measuring impact-related shock cannot be over-stated.

136 During gait, the use of accelerometers for measuring impact-related shock in response to ground
137 contact is common practice, and this has been widely used for understanding the effects of
138 footwear (Clarke et al, 1983; Forner et al, 1995; Lafortune, 1991; Lafortune et al, 1996; O'Leary
139 et al, 2008; Ogon et al, 2001; Sinclair et al, 2013), orthotic intervention (Laughton et al, 2003)
140 and prosthesis design (Adderson et al, 2007); as well as the induced segmental accelerations
141 caused by musculoskeletal trauma (Milner et al, 2007), fatigue (Voloshin et al, 1998) and
142 changes in spatio-temporal gait parameters (Derrick et al, 1998; Hamill et al, 1995; Mercer et
143 al, 2002; Voloshin, 2000). A number of these studies however, were based on time-domain
144 analysis and did not account for the presence of low-frequency accelerations induced by
145 movement that become superimposed onto actual impact-related accelerations (Shorten and
146 Winslow, 1992).

147 An alternative method for interpreting impact-related shock is spectral analysis of the time-
148 domain signal (Derrick et al, 1998; Hamill et al, 1995; Mercer et al, 2002; O'Leary et al, 2008;
149 Shorten and Winslow, 1992; Sinclair et al, 2013; Voloshin, et al, 1985). When viewed in the
150 frequency-domain, a typical segmental acceleration profile during running demonstrates two
151 distinct peaks, representing: 1) low-frequency kinematically-mediated accelerations (active
152 power spectrum density (PSD): 4-12Hz); and 2) impact-related accelerations (impact PSD: 12-
153 25Hz) (Hamill et al, 1995; Mercer et al, 2002; O'Leary et al, 2008; Shorten and Winslow, 1992).
154 The benefit of using this method is that the impact-related content can be easily discerned from
155 the low-frequency accelerations due to movement. However, even with this approach there are
156 examples in the literature of subjective delineation of impact-related frequencies (10-20Hz:
157 Mercer et al, 2002; 12-25Hz: O'Leary et al, 2008). As such, these studies have failed to consider
158 the intra- and inter-subject variability in gait that will inevitably alter the active PSD between
159 strides and subjects. Correct identification of the active PSD component within a segmental

160 acceleration signal should therefore be a primary consideration when interpreting impact-
161 related shock.

162 To the authors' knowledge, this approach has yet to be explored in the analysis of walking and
163 therefore warrants investigation. In light of the kinematic adaptations induced by barefoot
164 locomotion (Squadrone and Gallozzi, 2009), it is likely that this will translate into a higher
165 active PSD component underlying a time-domain shank acceleration signal (Shorten and
166 Winslow, 1992). Therefore, the present study was undertaken to investigate the significance of
167 this component during barefoot and shod walking. We hypothesised that the active PSD
168 component within a shank acceleration signal will be significantly greater in barefoot than shod
169 walking and this will be correlated with kinematic parameters that differentiate gait pattern
170 between conditions. This, rather than differences in impact-related PSD, may explain the higher
171 acceleration signal in the barefoot condition when interpreted in the time-domain. Furthermore,
172 previous work has shown that footwear reduces shock transmission to the spine (Ogon et al,
173 2001). However, in this study, low-frequency accelerations were not acknowledged in the
174 interpretation of the time-domain signals. Hence, we evaluated shock attenuation between the
175 shank and spine in barefoot and shod walking in the frequency domain.

176

177 **2.0 Methods**

178 2.1 Participants

179 Twenty seven participants ($n=27$; mean \pm SD, 12 Male: 27.8 ± 7.5 yrs, 1.74 ± 0.06 m,
180 71.2 ± 9.8 kg; 15 female: 26.1 ± 6.2 yrs, 1.66 ± 0.05 m, 59.2 ± 6.7 kg) gave their written
181 informed consent to participate in the study, which had received prior University Research
182 Ethics Committee approval. All participants reported from initial screening that they were free
183 from any current musculoskeletal injury or pathology that might otherwise have biased the
184 resulting outcome measures.

185

186 2.2 Experimental Protocol

187 Prior to testing, each participant's preferred walking speed was ascertained from five
188 preliminary barefoot (BF) and shod (SHOD) walking trials, which were calculated by speed
189 gates (Newtest, Finland) separated 6m apart along a walkway. This approach was adopted so
190 that a true adaption to ground impact was established since a move away from preferred walking
191 speed negatively influences shock attenuation (Derrick et al, 1998; Heiderscheit et al, 2011).
192 Hence, the acceptable range for individual walking speed within each main trial was determined
193 by one standard deviation either side of their averaged preferred speed.

194 The experimental protocol required participants to perform five main walking trials in BF and
195 SHOD (*Kalenji Success*, 0.39 EVA, Shore 55C) conditions. Sufficient time was given for
196 familiarisation and respective trials were counterbalanced to exclude order effect on the
197 outcome measures. All trials commenced with right-sided gait initiation and all data were taken
198 from the right lower extremity of participants.

199

200 2.3 Data Collection

201 2.3.1 Accelerometry.

202 Two tri-axial accelerometers (ACL300; range: $\pm 10g$, weight: 10 grams, resolution:
203 0.0025g; Biometrics Ltd, UK) were located on the shank and spine segment to compare the
204 transmissibility of impact-related shock between conditions. One was positioned at the distal
205 antero-medial aspect of the tibia, proximal to the medial malleolus (Hamill et al, 1995; Mercer
206 et al, 2002), and the second - midway between the superior aspect of both iliac crests,
207 representing the third lumbar vertebrae (L3). Similar to Ogon et al, (2001), the spinal
208 accelerometer was positioned at L3 for enhanced reliability of identification with respect to the

209 intercrystal line formed by palpation of iliac crests (Chakraverty et al, 2007). The third lumbar
210 vertebrae is regarded as the optimal site for the measurement of spinal accelerations since the
211 effects of contamination from rotational trunk motion are minimised with respect to linear
212 acceleration output (Kavanagh and Menz, 2008).

213 Prior to attachment, the accelerometers were calibrated within a custom-made frame with the
214 y-axis referenced to a global vertical orientation. The skin areas corresponding to the
215 aforementioned attachment sites were shaved where necessary. The accelerometers were first
216 securely fixed to the skin and then pre-loaded with zinc oxide medical tape in order to minimise
217 the effect of soft-tissue vibrations on the acceleration signal (Shorten and Winslow, 1992). The
218 validity of the ACL300 accelerometer was confirmed by way of an electromagnetic exciter
219 driven by a crystal oscillator, which elicits a standard level of acceleration of $10 \text{ m.s}^{-2} \pm 3\%$
220 (Type 4294; Brüel&Kjær, Denmark).

221 2.3.2 Kinematics.

222 Two electro-goniometers (SG150, SG110; accuracy $\pm 2^\circ$; Biometrics Ltd, UK) were
223 calibrated using a manual goniometer and positioned to measure sagittal plane motion about
224 the knee and ankle joints. They were first securely fixed to the skin and reaffirmed with zinc
225 oxide medical tape. The validity of the SG150 sensor was confirmed by comparing
226 differentiated knee joint angular displacement data ($n=1$) to those recorded by isokinetic
227 dynamometry (Kin Kom, Chattanooga Group Inc., USA) during $30^\circ.\text{s}^{-1}$ movement.

228 A foot-switch (Biometrics Ltd, UK) attached to the posterior aspect of the right heel determined
229 the time of each ground contact. The channel sensitivity and excitation output of the switch
230 were set at 300mV and 3000mV respectively, in accordance with the manufacturer's guidelines.

231 Both accelerometry and kinematic data were recorded continuously and pre-amplified via a
232 conditioning unit (DLK900; Biometrics Ltd, UK) mounted on a belt around the waist of each
233 subject. The data were sampled at a frequency of 500Hz via an analog-to-digital converter

234 (CED 1401 power, Cambridge, UK) using Spike2 data acquisition software (v6.10, CED,
235 Cambridge, UK) with a resolution of 16 bits.

236

237 2.4 Data Analysis

238 The characteristic parameters of the recorded signals (Figure 1) were calculated from
239 the third ipsilateral stride and averaged across the five trials performed in each condition using
240 custom-written scripts developed in Spike2 v.6.10 analysis software (CED, Cambridge, UK).

241 2.4.1 Accelerometry.

242 Only axial accelerations were considered for analysis. Initially, the raw shank and spine
243 time-domain signals were filtered with a 60Hz 4th order Butterworth low-pass filter for the
244 quantification of peak positive acceleration (PPA) during stance phase (Sinclair et al, 2013).
245 Following this, the signals were then filtered using a 4-50Hz finite impulse response band-pass
246 function with a transition gap of 2.6Hz. Using this approach, the fundamental frequency of gait
247 is omitted (~1Hz, Antonsson and Mann, 1985), whilst the frequency content containing the
248 spectral power from segmental displacements (Angeloni et al, 1994) and in excess of 99% of
249 the impact-related power (Lafortune et al, 1995) is preserved. Both acceleration signals were
250 analysed from the time of ground contact to peak knee flexion; representing the absorption
251 period of the stance phase. All data points outside this range were padded with zeros (Hamill
252 et al, 1995; Shorten and Winslow, 1992) so that the time-domain range under analysis equalled
253 1.024s.

254 The PSD of shank and spine accelerations were derived using the Fast Fourier Transform (FFT)
255 function (Figure 1). To overcome a limitation of the FFT in assuming a cyclical waveform, a
256 Hanning window function was used to taper the start and end of each data block within a
257 waveform to zero and prevent sharp discontinuities that may have caused additional frequency
258 components within the result. Accordingly, a FFT block size of 512 (1.024s) meant a bin

259 resolution of 0.98Hz given a sampling frequency of 500Hz. The resulting PSD was then
260 normalised where the sum of powers from 0-50Hz was proportional to the RMS amplitude of
261 the data in the time domain. Units of PSD were thus $g.Hz^{-1}$. A transfer function describing the
262 gain and attenuation (dB) between the shank and spine accelerations (Figure 1) was calculated
263 as the logarithmic function of the PSD at each frequency bin (Hamill et al, 1995):

264

$$266 \quad \text{Transfer Function} = 10\log_{10}\left(\frac{PSD_{\text{spine}}}{PSD_{\text{shank}}}\right)$$

265

267 Active PSD was defined as the sum of powers up until the frequency bin containing the lowest
268 power that delineated between low- and impact-related frequencies of the power spectrum
269 (Figure 1). Generally, this cut-off point was within the range reported in the literature (8-12Hz,
270 Hamill et al, 1995; Mercer et al, 2002; O'Leary et al, 2008); however, this varied on an intra-
271 and inter-subject basis, and on occasions active PSD exceeded 12Hz in certain participants. The
272 impact-related PSD was calculated by subtracting the active PSD from the total PSD of each
273 acceleration signal. The variables used to quantify the shank and spine PSDs were: active and
274 impact-related PSD ($g.Hz^{-1}$) and the frequency of their respective peaks (Hz). Additionally,
275 peak attenuation (dB), its corresponding peak frequency (Hz), and the percentage of impact-
276 related PSD attenuation between the shank and spine segments were measured (Figure 1).

277 2.4.2 Kinematics.

278 Sagittal plane knee and ankle angular displacement profiles were digitally filtered with
279 a 10Hz finite impulse response low-pass filter using a transition gap of 1.3Hz, digitally
280 differentiated to calculate movement velocity, then time-normalized to 110% of gait cycle
281 commencing at 10% before heel-strike (Figure 1). The following discrete kinematic variables
282 were extracted for analysis: stride time (s), joint angle at heel-strike ($^{\circ}$), knee flexion/ankle
283 plantarflexion range of motion ($^{\circ}$), and time to peak displacement (% Gait Cycle (GC)).

284 Furthermore, the average knee and ankle joint angular accelerations ($\text{rad}\cdot\text{s}^{-2}$) were calculated
285 from heel-strike to the initial peak flexion and plantarflexion velocities, respectively (Figure 1).

286

287 2.5 Statistical analyses

288 Outcome measures were tested statistically for normality of distribution with a
289 Kolmogorov-Smirnov 1-sample test (PASW v.18.0, IBM Corp., USA) and compared using
290 paired-samples t-tests to identify a condition effect (BF vs. SHOD) for all shank and spine PSD
291 variables and the kinematic data. An alpha level for statistical significance was set at 0.05. A
292 least-squares linear regression analysis of the shank active PSD and the absolute difference
293 between the average knee and ankle joint angular accelerations was performed to assess the
294 relationship between joint coupling motion and low-frequency accelerations. Pearson
295 correlation (r) was used to identify the strength of this relationship.

296

297 3.0 Results

298 Preferred average walking speed established prior to testing was $1.21 \pm 0.15\text{m}\cdot\text{s}^{-1}$ and
299 $1.23 \pm 0.17\text{m}\cdot\text{s}^{-1}$ in BF and SHOD conditions, respectively ($t=-1.59$, $df/26$, $P=0.062$).

300

301 3.1 Accelerometry.

302 PPA in the time-domain signal was significantly higher at the shank (2.87 ± 1.2 vs.
303 $1.58 \pm 0.81\text{g}$; $t=8.49$, $P<0.0001$) and spine (0.59 ± 2.5 vs. $0.48 \pm 0.24\text{g}$; $t=3.58$, $P=0.0007$) in
304 the BF condition. In contrast, when the data was resolved into the frequency-domain, there was
305 no significant differences between BF and SHOD for impact-related PSD at the shank
306 ($P=0.979$) or the spine ($P=0.178$) (Table 1). The frequency where peak impact-related PSD

307 occurred was however significantly higher in BF (*shank*: $t=3.79$, $P=0.001$; *spine*: $t=2.56$,
308 $P=0.017$).

309 Active PSD was significantly higher at the shank ($t=6.04$, $P<0.0001$) and spine ($t=2.85$,
310 $P=0.008$) in the BF condition. The frequency where peak active PSD occurred was also
311 significantly higher in BF (*shank*: $t=7.88$, $P<0.0001$; *spine*: $t=4.51$, $P<0.0001$). There was no
312 difference in peak attenuation ($P=0.368$), but its corresponding frequency was significantly
313 higher in BF ($t=3.062$, $P=0.005$). A similar percentage of overall impact-related PSD
314 attenuation was found between conditions ($P=0.310$).

315 The shank active PSD was strongly correlated with the absolute difference between the average
316 knee and ankle joint accelerations in both conditions (BF: $r=0.93$, $P<0.0001$; SHOD: $r=0.78$,
317 $P<0.0001$; Figure 2).

318

319 3.2 Kinematics.

320 Stride time was significantly shorter in BF ($t=-6.97$, $P<0.0001$). BF also demonstrated
321 significantly greater knee flexion ($t=6.10$, $P<0.0001$) and ankle plantarflexion ($t=-3.75$,
322 $P=0.001$) angles at initial contact when compared to SHOD (Table 2). The times to peak knee
323 flexion and ankle plantarflexion were significantly earlier in the BF stride cycle ($t=-7.29$,
324 $P<0.0001$; $t=-2.44$, $P=0.022$, respectively) with range of motion (ROM) being significantly less
325 ($t=-2.32$, $P=0.029$; $t=9.63$, $P<0.0001$, respectively). There was no difference between
326 conditions in average knee joint angular acceleration ($P=0.844$), however, the largest between-
327 conditions difference was found for average ankle joint angular acceleration ($t=10.15$,
328 $P<0.0001$); being significantly reduced in BF. Consequently, the mean absolute difference
329 between these average joint accelerations was significantly higher in BF ($t=7.49$, $P<0.0001$).

330

331 4.0 Discussion

332 The purpose of this investigation was to investigate whether low-frequency
333 kinematically-mediated accelerations, due to active movement, may cause an overestimation
334 of the magnitude of tibial shock experienced during walking. Time-domain shank and spine
335 accelerations were decomposed into the frequency-domain and compared between barefoot and
336 shod conditions; two modalities which differ significantly with respect to the neuromuscular
337 control associated with ground contact (von Tscharnner et al, 2003). Akin to observations made
338 during running (Hamill et al, 1995; Shorten and Winslow, 1992), the present study noted two
339 distinct peaks in the spectral distribution of these accelerations that represent active and impact-
340 related components of the signal. Separate analysis of these components confirmed the
341 experimental hypotheses of the present study. Firstly, impact-related PSD experienced at the
342 shank during barefoot walking is not significantly different to that measured during shod
343 walking. Additionally, no significant difference was observed in the overall impact-related PSD
344 attenuation between the shank and spine segments. Secondly, the magnitude of low-frequency
345 (active) PSD recorded at the shank was significantly greater in barefoot walking and
346 furthermore, this parameter was found to be strongly correlated with the absolute difference in
347 average knee and ankle joint angular accelerations in both conditions.

348 The finding that impact-related PSD at the shank was not significantly different between BF
349 and SHOD conditions is in disagreement with earlier investigations, which used time-domain
350 analysis and reported tibial shock to be significantly higher during experimental or simulated
351 barefoot walking (Forner et al, 1995; Lafortune, 1991; Lafortune et al, 1996). Three rational
352 explanations can be provided to explain this discrepancy, which should be considered in
353 parallel. Firstly, an important consideration pertains to how the acceleration signal is processed
354 before being subsequently expressed in magnitude of g . There are numerous examples within
355 the literature where the low-frequency (active) component of the time-domain acceleration
356 signal has not been acknowledged. Indeed, the present findings demonstrate that when raw data
357 is filtered with a generic 60Hz low pass filter and peak positive acceleration is derived from the

358 time-domain signal, g is shown to be significantly greater during barefoot walking. However,
359 this acceleration signal contains an active component, which is kinematically-mediated (Hamill
360 et al, 1995; Shorten and Winslow, 1992) and should therefore not be included in the assessment
361 of impact-related shock. Furthermore, the present findings also demonstrate that the cut-off for
362 active PSD can in fact be as low at 6Hz in some participants and exceeds 12Hz in others. Hence,
363 the magnitudes of tibial shock (g) reported in the literature may well be over-estimated, and
364 which may also have facilitated incorrectly drawn conclusions. Recently, the peak tibial shock
365 was reported to be significantly greater during barefoot running when compared to conventional
366 and barefoot-inspired footwear (Sinclair et al, 2013). Combined with a significant increase in
367 the median frequency of the shank acceleration signal, the authors concluded that barefoot
368 runners are more susceptible to musculoskeletal injury. In light of the present findings, a
369 reassessment of the data provided by Sinclair et al, (2013) is warranted before injurious claims
370 can be made.

371 Secondly, the present study observed a significant decrease in stride time in BF. This indirectly
372 confers with a reduced stride length; a notable feature of barefoot walking (Keenan et al, 2011).
373 Hamill et al, (2011) drew on the work of others and suggested that impact-moderating
374 behaviour is found through such an adaptation. A reduced stride length imposes the lowest
375 tibial accelerations when compared to increases in length (Derrick et al, 1998). Heiderscheit et
376 al, (2011) noted that knee angle at initial contact increases with an increase in step rate
377 (decreased stride length) and is accompanied with a flatter foot placement and lower probability
378 of impact-transient occurrence. These are consistent with the kinematic data reported in the
379 present study. It would seem that a main characteristic of barefoot walking is a pre-programmed
380 adaptation prior to ground contact in order to reduce the effective mass at impact, which
381 indicates the proportion of body mass that responds to impact force. Estimates of effective mass
382 during barefoot walking approximate 6% of body mass and decreases further during activities
383 which require increased knee flexion (Chi and Schmitt, 2005). By altering segmental geometry
384 prior to ground contact concomitant changes occur in joint stiffness, segmental deformations

385 and segment moments of inertia (Derrick, 2004); all of which contribute to reducing the
386 magnitude of impact force.

387 Finally, if it is accepted that impact shock is influenced by stride length, which in turn is a
388 surrogate of speed, then fixing speed for a homogenous data set might not be an accurate
389 representation of shock attenuation. This approach does not consider inter-subject variation and
390 consequently imposes alterations in spatio-temporal gait parameters in participants. Forner et
391 al, (1995) fixed their walking velocity at $2.0\text{m}\cdot\text{s}^{-1}$ and noted in excess of a 150% increase in
392 tibial acceleration in barefoot walking when compared to differing mid-sole constructions.
393 Similarly, Lafortune, (1991) asked one subject to walk at $1.5\text{m}\cdot\text{s}^{-1}$ and noted a two-fold increase
394 in tibial acceleration in a barefoot condition compared to when a hard leather-soled shoe was
395 worn. In the present study, preferred walking speed in both conditions was adopted and the
396 group means (BF: $1.21 \pm 0.15\text{m}\cdot\text{s}^{-1}$; SHOD: $1.23 \pm 0.17\text{m}\cdot\text{s}^{-1}$) highlight a reduction in walking
397 speed compared to those implemented in the afore-mentioned studies. Moreover, the reported
398 speeds demonstrate that one tends to walk slower in a barefoot condition; therefore the present
399 findings are derived from a more ecologically valid representation of impact loading during
400 barefoot walking.

401 A strong linear relationship was demonstrated between the shank active PSD and the absolute
402 difference in knee and ankle joint average angular acceleration in both conditions. The rationale
403 for correlating these two variables was based on the known knee joint-mediated de-coupling of
404 the shank segment relative to the foot as a mechanism for reducing the effective mass of the
405 body at ground contact (Derrick, 2004). The motions of these segments are known to be less
406 coordinated (out-of-phase coupling behaviour) during barefoot locomotion (Kurz and Stergiou,
407 2004). Furthermore, active PSD is known to be kinematically-mediated (Shorten & Winslow,
408 1992); therefore, its magnitude should intuitively be dependent on the manner in which the
409 shank rotates about the ankle joint during load response of stance phase. Hence, we investigated
410 whether the shank active PSD is correlated with the coupling of knee and ankle joint kinematics
411 by subtracting the change in ankle joint angular velocity between initial contact and peak knee

412 flexion from the respective change in knee joint angular velocity. The significant correlation
413 reported here suggests a good place for future work to search for either alternative correlates or
414 to define an underpinning mechanism responsible for this component of a time-domain
415 acceleration signal.

416 Derrick, (2004) theorised that reductions in effective mass impose higher tibial accelerations.
417 The present findings indicate that whilst this is the case, they are not necessarily impact-related;
418 rather, the higher shank accelerations observed during barefoot walking in the time-domain are
419 kinematically-mediated and significantly correlated with sagittal plane knee and ankle joint
420 angular kinematics. The greater the absolute difference between these joint's average angular
421 acceleration, the greater the low-frequency PSD of the shank acceleration. This relationship
422 was more consistent in the BF condition with the linear regression model accounting for 86%
423 ($r=0.93$) of the variance between participants, whereas this was reduced to 61% ($r=0.78$) during
424 shod walking. There was no statistical significance observed for average knee flexion angular
425 acceleration between conditions, therefore the source of this variance in shod walking must
426 have derived from ankle joint angular acceleration. Indeed, this measure contained the largest
427 condition-dependent effect size of all dependent outcome measures, highlighting a dependency
428 on an accurate and controlled foot placement during barefoot walking. Increased ankle stiffness
429 has been postulated as a mechanism responsible for the differences in impact characteristics
430 observed between barefoot and shod runners (Hamill et al, 2011). The present findings suggest
431 this is also the case in barefoot walking, where significant reductions in ankle joint range of
432 motion and average plantarflexion acceleration were demonstrated by participants. A stiffer
433 ankle combined with a compliant knee should result in greater kinematically-mediated low-
434 frequency accelerations at the shank due to the uncoupling of knee angular displacement
435 relative to that at the ankle (Derrick, 2004). Alternatively, increased ankle plantarflexion ROM
436 coupled with knee flexion, as observed in the SHOD condition, equates to an anti-phase
437 movement (ankle extension-knee flexion). Therefore, low-frequency accelerations should be

438 reduced as there is limited opposing distal motion that prevents the knee from un-coupling from
439 the ankle joint to induce this active PSD.

440 The frequency where peak impact-related PSD occurred at was significantly greater during
441 barefoot walking for both shank and spine accelerations. This was also the case for the
442 frequency corresponding to peak attenuation, but there was no statistical difference between
443 conditions in the overall attenuation of the impact-related PSD. The reported values for the
444 peak frequency of impact-related PSD fall within the range reported in the literature (10-35Hz;
445 (Nigg and Wakeling, 2001; Voloshin et al, 1985; Wakeling and Nigg, 2001). It is well accepted
446 that the musculoskeletal system tunes itself to effectively dampen all frequencies in and around
447 the impact-related bandwidth (Nigg and Wakeling, 2001; Wakeling and Nigg, 2001). The
448 frequencies of damping coefficients recorded from muscles exposed to vibration have been
449 shown to exceed the vibration frequency of the input signal (Wakeling and Nigg, 2001). In the
450 present study, the peak attenuation frequency exceeded the respective peak impact-related PSD
451 frequencies. As such, it is a matter of debate as to whether an increase in peak impact-related
452 PSD frequency induced through barefoot locomotion is potentially harmful as has recently been
453 suggested (Sinclair et al, 2013). It is logical that peak impact frequency be higher in a condition
454 where a foot-ground-interface is absent. However, in light of the present evidence, this may
455 alternatively represent a natural tuning to impact force resulting in an adequate damping of the
456 energy from the shock wave. Indeed, no statistical difference was found in the impact-related
457 PSD at the spine. Interestingly, the present results show that the average peak frequency of the
458 spine impact-related PSD decreased with respect to the same measure at the shank during
459 barefoot walking. In contrast, the opposite was true in the shod condition (Table 1) indicating
460 that higher peak impact-related frequencies are experienced more so at the spine than at the
461 shank.

462 Finally, whilst accelerometry is an accepted method for evaluating movement patterns during
463 walking (Kavanagh and Menz, 2008); an inherent limitation associated with its use is the
464 potential contamination of the time-domain signal with artefacts due to skin movement. These

465 effects are minimised with the use of low-mass accelerometers and pre-loading of the
466 instrument, which compresses the soft-tissue, in turn increasing its stiffness and the resonant
467 frequency of the tissue-accelerometer system (Forner-Cordero et al, 2008). However, even with
468 this accepted approach, the resonant frequency of the tissue-accelerometer system is still less
469 than 100Hz (Shorten and Winslow, 1992). Hence, during post-processing the time-domain
470 acceleration signals were band-passed between 6-50Hz since it has been shown that 99% of the
471 frequency content of tibial acceleration is below 50Hz. By adopting this approach, we
472 potentially negated the influence of soft-tissue artefact within the time-domain signals;
473 nonetheless, caution should be made regarding the possible contamination of the signals,
474 particularly at the spine (Kitazaki and Griffin, 1995).

475 The frequency resolution used to calculate the power spectrum of the accelerations may present
476 another limitation of the present study. Segmental accelerations were sampled at 500Hz,
477 resulting in a bin resolution of 0.98Hz. It is possible that greater bin resolution achieved through
478 a higher sampling frequency might have given more accurate delineation between the active
479 and impact-related segments of the power spectrum. Whether this would have translated into a
480 stronger relationship between the joint kinematics and the active PSD component is uncertain
481 and perhaps worthy of future investigation. However, given the size of the differences found
482 between barefoot and shod conditions for many spectral parameters, it is unlikely that
483 enhancing the resolution further would have affected the biomechanical relevance of the main
484 findings of the study.

485 In conclusion, the findings of the present study demonstrate a few of the adaptations made
486 during barefoot walking that aim to reduce the impact-related shock in the absence of a foot-
487 ground interface to levels present in a shod condition. These include spatio-temporal alterations
488 and changes in sagittal plane knee and ankle joint angular kinematic profiles, which de-couple
489 presumably to reduce the effective mass of the system at ground contact. As a consequence, the
490 kinematically-mediated low-frequency active component of a shank-mounted acceleration
491 signal is significantly greater in barefoot compared to shod walking, without any difference

492 noted in the amplitude of the impact-related PSD signal. This discrepancy with conclusions
493 drawn from previous studies most likely reflects an acknowledgement of the active PSD
494 component within a segmental time-domain signal and incorporating ecological validity within
495 the present experimental design. In light of the inherent nature of intra- and inter-subject gait
496 variability, it is concluded that impact-related shock is more accurately quantified in the
497 frequency-domain on a subject-trial basis following subtraction of the low-frequency
498 component of the acceleration signal.

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501 **Conflict of interest statement**

502 There are no conflicts of interest.

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596 review. Gait & Posture. 1999;10(3):264-275.

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599 **Table 1.** Mean±SD (*n*=27) power spectrum density (PSD) parameters during barefoot (BF) and
 600 shod (SHOD) walking. † indicates *P*<0.05, ‡ *P*<0.01.

	BF		SHOD		
	Mean	SD	Mean	SD	
ACTIVE PSD (<i>g</i> .Hz⁻¹)					
Shank	0.266	0.138	0.142	0.089	‡
Spine	0.153	0.073	0.114	0.056	‡
PEAK ACTIVE PSD FREQUENCY (Hz)					
Shank	8.6	1.5	6.6	1.2	‡
Spine	7.9	1.8	6.8	1.3	‡
IMPACT-RELATED PSD (<i>g</i> .Hz⁻¹)					
Shank	0.309	0.181	0.308	0.165	
Spine	0.029	0.031	0.020	0.014	
PEAK IMPACT-RELATED PSD FREQUENCY (Hz)					
Shank	23.1	6.7	17.7	4.4	‡
Spine	21.9	5.7	19.0	5.3	†
PEAK ATTENUATION (dB)					
	-21.37	4.98	-20.58	3.69	
PEAK ATTENUATION FREQUENCY (Hz)					
	35.6	11.3	29.0	9.3	‡
IMPACT-RELATED PSD ATTENUATION (shank - spine %)					
	84.9	28.5	91.0	7.5	

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603 **Table 2.** Mean \pm SD ($n=27$) sagittal plane kinematic variables during barefoot (BF) and shod
 604 (SHOD) walking. +^{ve}: knee flexion and ankle dorsiflexion, -^{ve}: ankle plantarflexion. †
 605 indicates $P<0.05$, ‡ $P<0.01$.

	BF		SHOD		
	Mean	SD	Mean	SD	
STRIDE TIME (s)	1.03	0.08	1.07	0.08	‡
GROUND CONTACT (°)					
KNEE	5.1	4.0	2.9	3.5	‡
ANKLE	-0.7	1.8	0.6	2.0	‡
ROM (°)					
KNEE	13.4	4.6	14.0	5.1	†
ANKLE	-6.8	2.5	-9.9	2.6	‡
TIME TO PEAK DISPLACEMENT (% stance)					
PEAK KNEE FLEXION	13.9	1.4	15.4	1.8	‡
PEAK ANKLE PLANTARFLEXION	8.0	1.3	8.4	1.4	†
AVERAGE ACCELERATION (rad.s⁻²)					
KNEE	21.0	9.0	20.7	7.2	
ANKLE	-8.6	4.6	-17.4	5.8	‡
<i>difference (knee-ankle)</i>	12.3	9.3	3.4	5.9	‡

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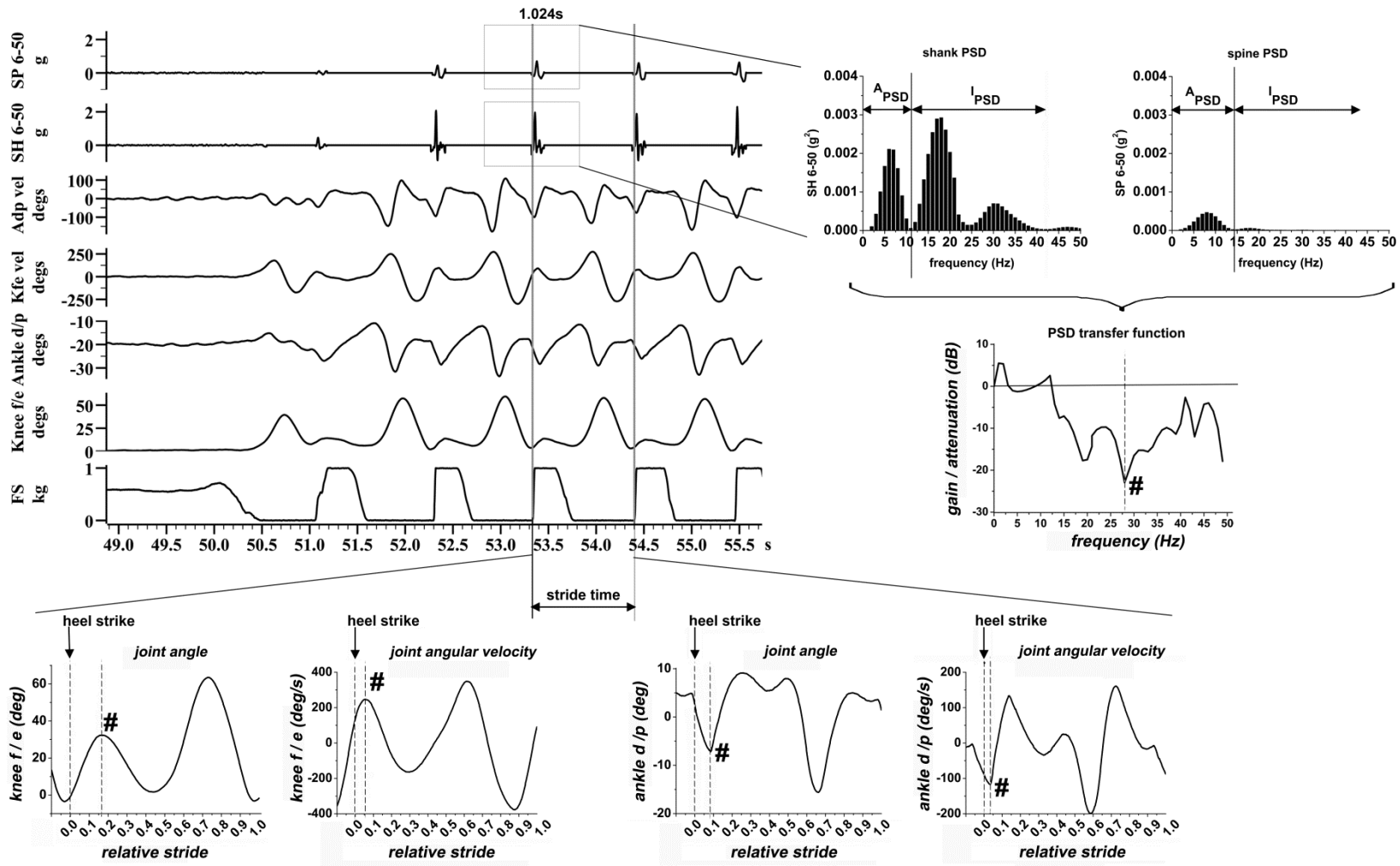
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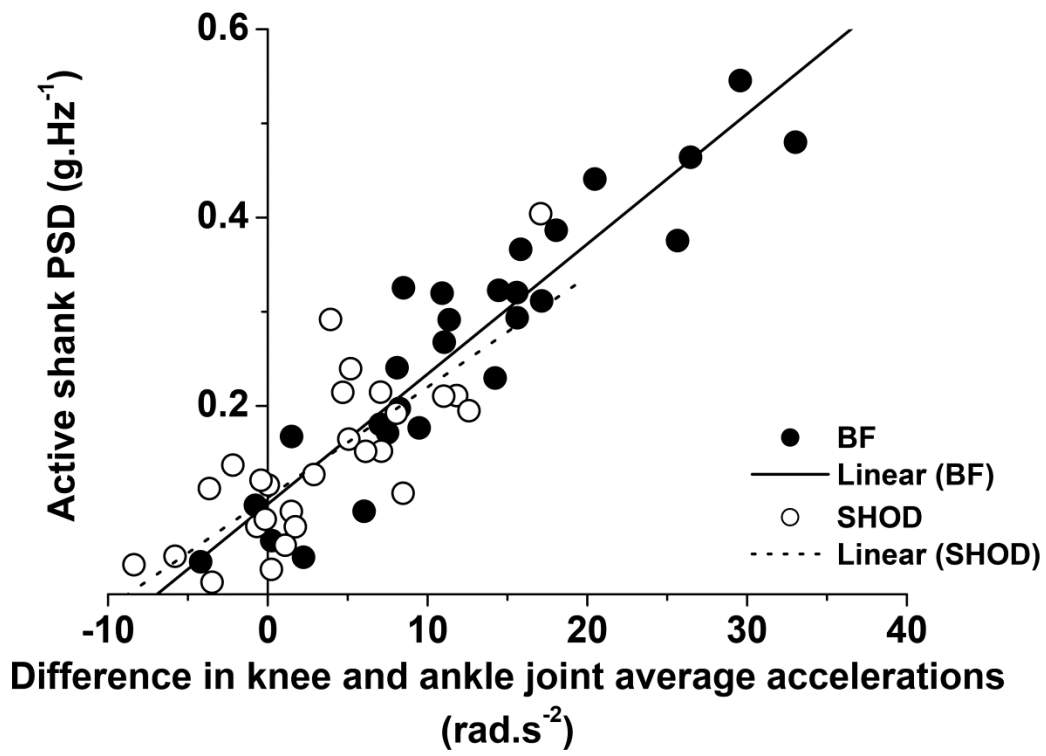
608 **Figure 1.** Schematic diagram of study parameters. *Top Left:* Filtered kinematic and
609 accelerometry data. Vertical lines represent the third ipsilateral gait cycle (stride time) to which
610 the kinematic waveforms are normalised against. *Bottom:* Normalised knee and ankle joint
611 angular displacements and velocity. Vertical line at '0.0' relative stride represents initial contact
612 and '#' at the second vertical line represents peak knee flexion / velocity and ankle
613 plantarflexion / velocity. *Top Right:* Shank and spine power spectrum densities (PSD) resolved
614 from an FFT window ~ 1.024s incorporating data points that were zero-padded either side of
615 the time-domain signal between initial contact and peak knee flexion. Each PSD comprises an
616 active (A_{PSD}) and an impact-related (I_{PSD}) component. The gain or attenuation of power at each
617 frequency between the shank and spine segments is described with a transfer function (*below*),
618 with '#' indicating peak attenuation and frequency where this occurred.

619

620 **Figure 2.** Relationship between shank active PSD and the difference between the absolute
621 average values of knee and ankle joint accelerations in barefoot (BF: $r=0.93$) and shod (SHOD:
622 $r=0.78$) conditions.

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Darren James received his PhD in Biomechanics in 2013. He is a Research Fellow at London South Bank University and his research interests focus on non-linear dynamics of human movement, footwear development and the neuro-mechanical adaptation of the intrinsic foot musculature with training.

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Dave Cook graduated in Sport Science in 1997 and obtained his PhD in Biomechanics in 2003. Senior Lecturer in Biomechanics at London South Bank University (LSBU) for 12 years but recently moved on to become Head Coach of the Norwegian national Taekwondo team whilst maintaining a visiting fellow position at LSBU. His main research interests focus on barefoot gait and the dynamics of combat sport.





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